



JAN 28 2003

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/769,223
		Filing Date	January 24, 2001
		First Named Inventor	Raoul E. Benveniste
		Group Art Unit	1648
		Examiner Name	Jeffrey S. Parkin
Total Number of Pages in This Submission		Attorney Docket Number	015280-196310US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Frank J. Mycroft	Reg. No. 46,946
Signature		
Date	January 21, 2003	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

January 21, 2003

Typed or printed name	Masha M. Martinenko	
Signature		
	Date	January 21, 2003

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 110)

METHOD OF PAYMENT (check all that apply)

Check Credit Card MoneyOrder Other None
 Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fees from below	Fee Paid
				X	
				X	

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

Complete If Known	
Application Number	09/769,223
Filing Date	January 24, 2001
First Named Inventor	Raoul E. Benveniste, et al.
Examiner Name	Jeffrey S. Parkin
Group Art Unit	1648
Attorney Docket No.	015280-196310US

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FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Entity	Small Entity	Fee Description	Fee Paid	
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
1051	130	2051	Surcharge - late filing fee or oath		
1052	50	2052	Surcharge - late provisional filing fee or cover sheet		
1053	130	1053	Non-English specification		
1812	2,520	1812	For filing a request for reexamination		
1804	920*	1804	Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805	Requesting publication of SIR after Examiner action		
1251	110	2251	Extension for reply within first month	110	
1252	410	2252	Extension for reply within second month		
1253	930	2253	Extension for reply within third month		
1254	1,450	2254	Extension for reply within fourth month		
1255	1,970	2255	Extension for reply within fifth month		
1401	320	2401	Notice of Appeal		
1402	320	2402	Filing a brief in support of an appeal		
1403	280	2403	Request for oral hearing		
1451	1,510	1451	Petition to institute a public use proceeding		
1452	110	2452	Petition to revive - unavoidable		
1453	1,300	2453	Petition to revive - unintentional		
1501	1,300	2501	Utility issue fee (or reissue)		
1502	470	2502	Design issue fee		
1503	630	2503	Plant issue fee		
1460	130	1460	Petitions to the Commissioner		
1807	50	1807	Petitions related to provisional applications		
1806	180	1806	Submission of Information Disclosure Stmt		
8021	40	8021	Recording each patent assignment per property (times number of properties)		
1809	750	2809	Filing a submission after final rejection (37 CFR § 1.129(a))		
1810	750	2810	For each additional invention to be examined (37 CFR § 1.129(b))		
1801	750	2801	Request for Continued Examination (RCE)		
1802	900	1802	Request for expedited examination of a design application		
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$110)	

SUBMITTED BY					
Complete (if applicable)					
Name (Print/Type)	Frank J. Mycroft	Registration No. (Attorney/Agent)	46,946	Telephone	925-472-5000
Signature	<i>Frank J. Mycroft</i>			Date	January 21, 2003

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Assistant Commissioner for Patents
Washington, D.C. 20231

On January 21, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: 
Masha M. Martinenko

#11
Amber B
2/4/03
PATENT
Attorney Docket No.: 15280-196310US
Client Reference No.: E-054-94/2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Raoul E. Benveniste, *et al.*

Application No.: 09/769,223

Filed: January 24, 2001

For: METHOD OF INDUCING CELL-MEDIATED PROTECTIVE IMMUNITY AGAINST HIV USING LOW DOSES OF IMMUNOGENS

Examiner: Jeffrey S. Parkin

Art Unit: 1648

RESPONSE TO RESTRICTION REQUIREMENT AND AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Office Action mailed November 18, 2002, Applicants held a telephone conference with Examiner Parkin on January 15, 2003. Applicants thank Examiner Parkin for his time and effort. Applicants understood the Examiner to have indicated that they would be allowed to maintain their previous election with traverse, submitted in the paper submitted on August 29, 2002, provided they withdrew all the proposed new claims, except for the proposed new claims drawn to a HIV virus which has been rendered incapable of replicating (claims 32, 33).

Applicants therefore repeat their election of Group VI: Claims 17, 18, and 20, as set forth in the Office Action of July 29, 2002, drawn to vaccinating a human